PTO/SB/21 (08-03)

Approved for use through 07/31/2006. OMB 0651-003 to U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Application Number 10/805,131 RANSMITTAL Filing Date 03/19/2004 **FORM** First Named Inventor Bjoern Magnussen Art Unit all correspondence after initial filing) 2834 **Examiner Name** Unknown Attorney Docket Number **ELLIP-007USB** Total Number of Pages in This Submission **ENCLOSURES** (Check all that apply) After Allowance Communication Drawing(s) Fee Transmittal Form to Group Appeal Communication to Board Licensing-related Papers of Appeals and Interferences Fee Attached Appeal Communication to Group Petition (Appeal Notice, Brief, Reply Brief) Amendment/Reply Petition to Convert to a Proprietary Information After Final Provisional Application Power of Attorney, Revocation Status Letter Change of Correspondence Address Affidavits/declaration(s) Other Enclosure(s) (please Terminal Disclaimer Extension of Time Request Identify below): see Remarks Request for Refund **Express Abandonment Request** Supplemental CD, Number of CD(s) Information Disclosure Statement Remarks Certified Copy of Priority Form PTO-1449 (in duplicate); Copies of disclosed references (2 search reports + 35 Document(s) foreign references); and a return postcard. Response to Missing Parts/ Incomplete Application 4 /. Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Lowell Anderson STETINA BRUNDA GARRED & BRUCKER Individual name Signature Date CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. Washington, DC 20231 on this date: Typed or printed name Lisa Li Signature Date This collection of information is required by 37 CFR 15. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confider (antly is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:	Bjoern Magnussen et al.	) Examiner: Unknown
Appl. No.:	10/805,131	) Oroup Art Unit: 2834
Filed:	March 19, 2004	) Confirmation No.: 3151
For:	PIEZOMOTOR WITH A GUIDE	) ) )

# SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT PURSUANT TO 37 C.F.R. SECTION 1.97

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313

#### Dear Sir/Madam:

Pursuant to 37 C.F.R. § 1.97, the following Supplemental Information Disclosure Statement is submitted as listed on form PTO-1449 enclosed herewith in duplicate. Copies of all non-U.S. Patent disclosure documents are attached hereto for the Examiner's review.

The disclosure documents listed on the attached form PTO-1449 were printed in the English language and/or accompanied by an Abstract published in the English language. The relevance of any non-English language references is explained in the abstracts, and/or in the accompanied PCT search reports (for International Application Nos. PCT/EP01/03245 and PCT/EP02/10559), and/or by comments provided below:

DE 2530045 C2 describes a motor with a stator and a rotor where the stator has at least one piezoelectric resonator that has a friction contact to the rotor. The resonator has at least one piezoelectric that is attached to the parallel surfaces of the resonator. The piezoelectric element is connected to an AC-voltage source. The polarization of the piezoelectric element is perpendicular to the electrode surface. The dimension of the resonator is designed to have a longitudinal resonance that is close to the frequency of the

AC voltage. The resonator is in driving communication with the rotor, so that the contact to the rotor causes bending or transversal vibrations that together drive the rotor.

DE 3833342 A1 describes a piezoelectric motor providing two selectable driving directions and a holding mode, comprising one driving element (2, 33, 133, 233) that generates mutually orthogonalmotion components (L, T) with selectable mutual phases (0°, 180°, 190°).

DE 3920726 C2 describes an ultrasonic oscillator 1 with piezoelectric elements 2. A resonator 4 is connected to the piezoelectric elements 2. The resonator has one or several slanted surfaces 9 wherein at the front end of resonator 4 elliptic oscillations are generated. The ultrasonic oscillator 1 can be used as a driver of a motor 20 that has a rotor 22.

DE 3309239 C2 discloses a piezoelectric motor with two resonators each having a separate resonant frequency defined by the dimensions of the respective resonator. The frequencies are sufficiently close to produce a mechanical phase shift in the resonators so that no electric difference of the input signal 8 is necessary.

DE 19928780 discloses a piezoelectric actuator configuration.

EP 0231940 A2 shows a piezoelectric drive used as a motor (Fig. 1) or mist generator (Fig. 2), and uses two masses (2 & 3) connected by a tube shaped part 6 that encloses the piezoceramic body 4.

EP 0643427 B1 has claims written in English. Claim 1 refers to an electric motor with at least one pair of transducers (1, 1, 1', 2', 101, 102) each comprising a vibrating element. These transducers are located collinearly in order to generate longitudinal vibrations in the direction of the axis of alignment, in permanent contact via one of their ends with a support structure (70, 15, 24, 38, 39, 46, 51, 60, 66, 104, 107) and via the other one of their ends with an elastic coupling means (3, 3', 103) to which the vibrations of the two transducers are applied. The transducers are excited so that their vibrating elements vibrate at one and the same frequency, depending on the alignment of the transducers, but with a phase shift of 90°, and at least one element (4, 10, 11, 25, 36, 37, 49, 50, 62, 63, 106) frictionally driven by the coupling means whose zone of contact with the driven element is given a circular or elliptical movement, motor wherein the coupling means is an elastic component in contact at two opposed points with the

transducers, and exhibiting symmetry relative to a plane perpendicular to the line of action of the transducers and a section, along a plane containing this line of action, of at least approximately elliptical, particularly circular or semi-elliptical shapes.

SU 1278994 shows a mounting arrangement for vibratory motors.

An article by W. Krause and W. Schinkothe, titled "Lineardirektantriebe für die Feinwerktechnik [Direct-Drive Linear Motors in Precision Engineering]", which was published in *Feinwerktechnik & Messtechnik [Precision Engineering and Measurement Engineering]*, Issue 98, No. 7-8, Munich, 1990. It describes a piezoelectric linear motor.

No representation is made that the references disclosed herein legally constitute prior art, or that more relevant references are not available. The references listed herein, when taken alone or in combination, are not believed to disclose nor make obvious the invention as claimed in the subject application.

As this Information Disclosure Statement is being submitted before the stipulated three months from the filing date of the application and/or before the mailing of a first Office Action, it is believed that no fee is required. If any additional fee is required, please charge Account Number 19-4330.

Respectfully submitted,

Dated: 8/27/04

By:

Lowell Anderson

Registration No. 30,990

Stetina, Brunda, Garred & Brucker

75 Enterprise, Suite 250 Aliso Viejo, CA 92656

(949) 855-1246

(949) 855-6371 (fax)

Sheet 1 of 2

FORM PTO-1449 (Modified)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTY. DOC	KET	NO.
FILIP-007US	:R	

SERIAL NO. 10/805,131

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT

APPLICANTS Magnussen et al. FILING DATE 03/19/2004

GROUP 2834

(37 CFR 1.98(b))

**U.S. PATENT DOCUMENTS** ISSUE DATE PATENT NUMBER **PATENTEE** CLASS **SUBCLASS** FILING DATE 6563253 05/13/2003 Diefenbach et al.

### FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION

	DOCUMENT NUMBER	PUBLICATION	PUBLICATION COUNTRY OR DATE PATENT OFFICE	CLASS	SUBCLASS	TRANSLATION	
	DOODINENT NUMBER	DATE				YES	NO
2	DE2530045	07/04/1975	Deutschland				
3	DE3833342	09/30/1988	Deutschland				
4	DE3920726	06/24/1989	Deutschland				
5	DE3309239	09/12/1991	Deutschland				
6	DE4127163	02/18/1993	Deutschland				
7	DE19507996	09/12/1996	Deutschland				
8	DE19538978	11/21/1996	Deutschland				
9	DE19920436	11/09/2000	Deutschland				
10	DE19928780	01/04/2001	Deutschland				
1.	EP0231940	02/04/1987	Europe				
12	EP0518262	12/16/1992	Europe				
13	EP0313072	05/05/1993	Europe				
14	EP0569673	11/18/1993	Europe				
15	EP0712170	05/15/1996	Europe				
16	EP0725450	08/07/1996	Europe				
17	EP0643427	11/19/1997	Europe				
18	EP0924778	01/17/2001	Europe				
19	EP0951078	10/20/1999	Europe				
20	EP1089424	04/04/2001	Europe				
2.	GB1510091	05/10/1978	Great Britain				
22	JP62217880	09/25/1987	Japan				
23	JP1030467	02/01/1989	Japan				
24	JP2260476	10/23/1990	Japan				
25	JP02260582	10/23/1990	Japan				
26	JP04351200	12/04/1992	Japan				
27	JP06286401	10/11/1994	Japan				
28	JP08019275	01/19/1996	Japan				
29	WO9750134	12/31/1997	PCT				
30		07/06/2001	PCT				
31		07/05/1994	Former Soviet Union				

**EXAMINER** 

**DATE CONSIDERED** 

**EXAMINER:** Initial citation considered. Draw line through citation if not in conformation and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449 (Modified)	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. ELLIP-007USB	SERIAL NO. 10/805,131	
SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT		APPLICANTS Magnussen <i>et al.</i>		
(37 CFR 1.98(b))		FILING DATE 03/19/2004	GROUP 2834	

OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication) 32 Ragulskis, K. et al, Vibromotors For Precision Microrobots, p. 5-6, published by Hemisphere Publishing Corporation in 1988. 33 Krause, W. et al., Direct-Drive Linear Motors Imprecision Engineering, p.303-306, Issue 98, No. 7-8, published by Carl Hanser Publishing Company, Munich, 1990. 34 Piezoelectric Ultrasonic Motors, Jorg Wilaschek, Heinz Nixdorf Institut, Universitat-GP Paderborn, 33095 Paderborn, Germany 1/95 35 PWM Driving Characteristics of Robot Hand with Fingers Using Vibration-type Ultrasonic Motors, K. Nishibori, H. Obata, S. Okuma; Prodeedings of the EICON '97 23rd International Conference on Industrial Electronics, Control, and Insturmentation; New Orleans, LA, USA; November 9, 1997; pages 1355-1360. New Type of Piezoelectric Ultrasonic Motor, Maximilian Fleischer, Dieter Stein and Hans Meixner, Siemens AG, Research 36 Laboratories, Otto-Hahn-Ring 6, D-8000-Munchen 83, West Germany 5/98 **DATE CONSIDERED EXAMINER** 

EXAMINER: Initial citation considered. Draw line through citation if not in conformation and not considered. Include copy of this form with next communication to applicant.

Atty: Lowell Anderson STETINA BRUNDA GARRED & BRUCKER 75 Enterpise, Suite 250 Aliso Viejo, CA 92656 (949) 855-1246

**\PATENTS\** 

IDS1449.PTO

## ATTORNEY DOCKET NO: ELLIP-007USB



# Certificate of Mailing under 37 CFR 1.8 or 37 CFR 1.10

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to:

Mail Stop Amendment Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313

on August 30, 2004

(Signature)

Lisa Li

(Typed name of person signing certificate)

Note: Each paper must have its own certificate of mailing, or this certificate must identify each submitted paper.

- 1. Transmittal
- 2. Supplemental Information Disclosure Statement
- 3. Form PTO-1449 (in duplicate)
- 4. Copies of disclosed references (2 search reports + 35 foreign references)
- 5. A Return Postcard